

Maximal Subgroup Structure in Countably Categorized Groups

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Abstract. The object of this work is to find classes of groups which possess only countably many maximal subgroups. Modules with countably many maximal submodules and group rings having countably many maximal right ideals are also investigated. Examples of soluble groups with uncountably many maximal subgroups are described.

1 Introduction

This article is a study of groups that have only countably many maximal subgroups, a property that is denoted here by CG. There are many groups with CG; indeed, a group with no maximal subgroups at all has the property and little can be said about such groups in general. On the other hand, non-trivial finitely generated groups always have maximal subgroups and clearly the cardinality of the set of maximal subgroups is at most 2^{\aleph_0} . In fact, finitely generated groups with uncountably many maximal subgroups exist even in the soluble case, as has been shown by de Cornulier [3]: thus for a finitely generated group to be a CG-group is a real restriction on the group structure.

As examples of CG-groups we cite virtually soluble groups with finite abelian ranks and finitely generated nilpotent-by-polycyclic-by-finite groups; in each case the reason is that in these groups maximal subgroups have finite index and there are finitely many subgroups of each finite index. (For the background here see [8, Chapters 5 and 7].) We mention as well the celebrated theorem of Margulis and Soifer [9] that a finitely generated linear group over a field which has CG is virtually soluble. The converse statement is also true since virtually soluble linear groups are nilpotent-by-abelian-by-finite by a well-known theorem of Mal'cev.

In a CG-group the maximal subgroups always have countable index (Lemma 2). In the present work we will find many diverse types of CG-groups with maximal subgroups of infinite index. In the final section we describe methods for

constructing finitely generated soluble groups with uncountably many maximal subgroups.

Results. We begin with a discussion of extension properties of the class CG. The first significant result states that a finite extension of a CG-group is a CG-group (Theorem 1). A further extension theorem which allows us to expand our list of groups with CG is:

Theorem 2. Let G be a group with a normal subgroup N which has countably many subgroups and let $G=N$ be finitely generated. Then all but a countable number of maximal subgroups of G contain N .

Thus, if in addition $G=N$ has CG, then G has CG. For example, a finitely generated extension of a group with max by a soluble group of finite rank has CG. In general, a direct product of CG-groups need not be a CG-group; however, we are able to establish:

Theorem 3. Let H and K be groups with CG and assume that simple quotients of K are finitely generated. Then $H \times K$ has CG.

We are particularly interested in classes of locally nilpotent groups and soluble groups which have CG. In the case of periodic hypercentral groups there is a precise characterization.

Theorem 5. Let G be a periodic hypercentral group. Then G has CG if and only if it is an extension of a central divisible subgroup by a direct product of finite p -groups for distinct primes p .

The relation between soluble groups satisfying chain conditions on normal subgroups and the property CG is especially interesting. It is shown in Theorem 6 that a soluble group satisfying max- n has CG. On the other hand, the status of soluble groups with min- n is unknown, mainly due to our lack of knowledge of such groups. Our strongest result in this direction is the technical Theorem 7 below. A special case of interest is: *metanilpotent groups satisfying min- n have CG*. This seems to be the only structural property of metanilpotent groups with min- n that has been found since the work of Hartley and McDougall over forty years ago; see [6,7,10].

Further investigations of CG-groups are aided by introducing the corresponding module property: a module has the property CM if it has

countably many maximal submodules. In the same spirit we will say that a ring has the property CI if it has countably many maximal right ideals. It is an easy exercise to show that a countable ring R with identity has CI if and only if there are only countably many R -isomorphism types of simple modules. The case that concerns us here is that of group rings; of course a group ring ZG has the property CI precisely when it has CM as a G -module.

While the structure of soluble groups with CG seems beyond reach in the general case, there is a characterization for metanilpotent groups.

Theorem 8. *Let G be a metanilpotent group and let $A \leq G$, where A and G/A are nilpotent. Then G has CG if and only if the following conditions hold:*

- (i) $G = G^p G_0$ is finite for each prime p .
- (ii) Each maximal G -submodule of A^{ab} has countable index in A^{ab} .
- (iii) There are countably many maximal G -submodules of A^{ab} whose quotients in A^{ab} are not G -trivial.

A large class of finitely generated CG-groups is given by:

Theorem 9. *Let G be a finitely generated group with a normal subgroup N . Assume that N has an ascending G -invariant series in which all the infinite factors are abelian and have the property that their finitely generated G -submodules satisfy CM. Then all but a countable number of maximal subgroups of G contain N .*

Of course, if in addition G/N has CG, then so does G . The property CI is clearly of interest in its own right. It has drastic structural consequences for soluble groups, as the next result shows.

Theorem 10. *If G is a soluble group whose group ring ZG satisfies CI, then G is a minimax group with no sections of type $p^1 p_1$.*

It is interesting that the groups described in Theorem 10 are exactly the soluble groups with countably many subgroups, as was shown by Cutolo and Smith [4]. The converse of Theorem 10 is established for abelian-by-finite groups (Theorem 11). Theorems 10 and 11 have a noteworthy consequence: *if G is a locally finite group, then ZG satisfies CI if and only if G is a Cernikov group whose maximum divisible subgroup is locally cyclic.* This is Corollary 4.

The methods of proof used in the article are mostly standard, a common tool being the uncountable pigeonhole principle. However, derivations play an important part, while the proofs of Theorems 7 and 8 call for the use of cohomological vanishing theorems of the third author.

Notation. In what follows, we will use the following notation.

- (i) CG: a group has countably many maximal subgroups.
- (ii) CM: a module has countably many maximal submodules.
- (iii) CI: a ring has countably many maximal right ideals.
- (iv) max-G, min-G: the maximal and minimal condition for G-invariant subgroups.
- (v) G^{ab} : the abelianization of G.
- (vi) G^{-i} , ${}_iG$: terms of the derived series and lower central series of G.
- (vii) H_G : the G-core of H.
- (viii) All modules are right modules. If G is a group, a G-module is a ZG-module.
- (ix) $\text{Der}G;A$, $\text{Inn}G;A$: sets of derivations and inner derivations.
- (x) $I_G;IN_G$: an augmentation ideal and a relative augmentation ideal.

2 Some groups with countably many maximal subgroups

We begin with two elementary results.

Lemma 1. *Let G be a group whose maximal subgroups have finite index and assume that $G = G^n$ is finite for all $n > 0$. Then G is a CG-group*

Proof. A maximal subgroup contains G^n for some $n > 0$, so there are only countably many of them. \square

Therefore finitely generated nilpotent-by-polycyclic groups and soluble groups with finite abelian ranks are CG-groups. On the other hand, finitely generated soluble CG-groups with maximal subgroups of infinite index exist; for example, the soluble group with max-n constructed by Hall [5] – see also [8, 4.5.1]. The next result is almost the only property shared by all CG-groups.

Lemma 2. *If G is a CG-group, every maximal subgroup of G has countable index.*

Proof. Let M be a maximal subgroup of G . If $M \triangleleft G$, then $\bigcup_{j \in G} W M j$ is finite. Otherwise $M \triangleleft N_G(M)$, so that M has $\bigcup_{j \in G} W M j$ conjugates in G . Since each conjugate is maximal in G , the result follows. \square

In the remainder of this section we investigate extension properties of the class of CG.

Theorem 1. *Let G be a group with a normal subgroup N of finite index. If N has CG, then so does G .*

Proof. Assume the result is false; then there are uncountably many maximal subgroups M of G such that $N \not\leq M$. Also $G \triangleleft MN$, $D \triangleleft M \setminus N G M$ and the quotient M/D is finite. In addition, D is a maximal M -invariant subgroup of N .

For suppose that $D < L \leq N$ and $L/D \cong L^M/D$; then we have $L \leq M$, so $G \triangleleft ML$ and $N/D \cong ML/D \setminus N/D \cong DL/D$.

Next let T be a transversal to D in M ; thus $M/D \cong DT$. Let $x \in N \setminus D$; then $\langle D, x^t \rangle \leq T^{-1} T$ is M -invariant and contains D properly, so that $N/D \cong \langle D, x^t \rangle \leq T^{-1} T$ by maximality of D . Thus N is generated by D and finitely many elements, which implies that D is contained in a maximal subgroup X of N . Moreover, $D \triangleleft X_M \triangleleft X_T$ by maximality of D . Therefore D is the intersection of finitely many maximal subgroups of N each of which has countable index in N by Lemma 2. Consequently, $\bigcup_{j \in G} W D j$ is countable. Since N has CG, there are countably many possible subgroups D . Finally, M is a finite union of cosets of D , so there can be only countably many subgroups M , a contradiction. \square

On the other hand, it is uncertain whether the property CG is inherited by normal subgroups of finite index.

Theorem 2. *Let G be a group with a normal subgroup N which has only countably many subgroups and let G/N be finitely generated. Then all but a countable number of the maximal subgroups of G contain N .*

Proof. Assume the result is false, so there are uncountably many maximal subgroups M of G such that $N \not\subseteq M$. Thus $G \cong MN$ and $M \setminus N \cong G/M$. By hypothesis there are countably many possibilities for $M \setminus N$. Hence $M \setminus N \cong D$ is fixed for uncountably many subgroups M . Notice that $D \cong G/M; M_1 \cong D \cong G$, where M_1 is another maximal subgroup with the properties of M . Now we consider $G \cong D \cdot M_0 = D \cdot N = D$ with M_0 a fixed maximal subgroup. It is well known that complements of $N = D$ in $G = D$ correspond to elements of $\text{Der}(M_0 = D; N = D)$. A derivation is determined by its effect on the generators of $M_0 = D$. Since $M_0 = D \cdot G = N$ is finitely generated and N is clearly countable, there are only countably many quotients $M = D$ and hence subgroups M , a contradiction. \square

Corollary 1. A finitely generated extension of a group with max by a CG-group is a CG-group.

Nevertheless, the class CG is not closed under extensions. For example, consider the metabelian group $G \cong D \cdot H \cong K$, where K is the additive group and H the multiplicative group of the field of complex numbers, with the natural action of H on K . Both H and K have CG since they are divisible and abelian. However, H is maximal in G and has uncountable index; thus it G not a CG-group by Lemma 2. We turn next to direct products of CG-groups.

Theorem 3. Let H and K be CG-groups and assume that all simple quotients of K are finitely generated. Then $H \times K$ is a CG-group.

Proof. Write $G \cong D \cdot H \times K$ and assume that G does not have CG. Then there are uncountably many maximal subgroups M that contain neither H nor K ; hence $G \cong D \cdot M \cong D \cdot M \cong K$ and $M \setminus H \cong G/M; M \setminus K \cong G/M$. Also $M \setminus H$ and $M \setminus K$ are maximal normal in H and K , respectively. By hypothesis $K = M \setminus K$ is finitely generated, so $M \setminus K$ is contained some maximal subgroup $K.M/$ of K . If MN is another maximal subgroup like M and $K.M/ \cong D \cdot K.M/N$, then $M \setminus K \cong K.M/N$ and, since $K = MN \setminus K$ is

simple, it follows that $M \setminus K \cong MN \setminus K$ and hence we have $M \setminus K \cong D \cong MN \setminus K$ by maximality. There are only countably many subgroups $M \setminus K$ because K is a CG-group. It follows that there are uncountably many maximal subgroups M with $M \setminus K \cong D \cong L$ fixed. Factoring out by L , we can assume that $M \setminus K \cong D \cong 1$ for uncountably many M . Thus K is finitely generated.

Next $G = M \setminus H \cong D \cong H = M \setminus H / \cong M \setminus H / K \cong M \setminus H /$, so that

$$H = M \setminus H' \cong G = M \setminus H / K \cong D \cong MK = M \setminus H / K' \cong M = M \setminus H' \cong K:$$

As a consequence $H = M \setminus H$ is finitely generated. Arguing as we did above for K , we may also suppose that $M \setminus H \cong D \cong 1$ and H is finitely generated. Now the situation is that $G \cong D \cong M \cong \tilde{H} \cong D \cong K \cong H$. The complements of H in G correspond to elements of $\text{Der}(K; H) / D \cong \text{Hom}(K; H)$, which is countable since H and K are finitely generated. This gives the contradiction that there are countably many subgroups M . \square

In particular, the direct product of two CG-groups is a CG-group if at least one of them is soluble. On the other hand, the direct product of two insoluble CG-groups need not be a CG-group. Indeed, suppose that S is a simple group without maximal subgroups: we could take S to be, e.g., Ol’sanskiĭ’s uncountable group with all its proper subgroups countable [11, 35.2–35.4]. Set $G \cong D \cong S \cong S$ and observe that the diagonal subgroup is maximal and has uncountable index in G ; therefore G cannot be a CG-group by Lemma 2.

3 Locally nilpotent groups and soluble groups with the property CG

In this section we investigate the property CG in the context of locally nilpotent groups and soluble groups. For locally nilpotent groups there is a simple – if not too informative – characterization.

Lemma 3. *Let G be a locally nilpotent group. Then G has CG if and only if $G^{ab} = G^{ab/p}$ is finite for all primes p .*

Proof. Recall that a maximal subgroup of G is normal with prime index and hence contains some G_0G^p . Also an infinite elementary abelian p -group has uncountably many subgroups of index p . The result now follows. \square

The structure of even abelian groups with CG is not known in general. Clearly an abelian group with finite ranks and the direct product of a divisible abelian group and finite abelian p -groups for distinct primes p are CG-groups. But there are uncountable, torsion-free reduced abelian groups of infinite rank with CG, for example the cartesian product of the additive groups of rational numbers with denominators indivisible by a prime p for distinct p .

Turning to soluble groups satisfying CG, we will find several uses for the next result.

Theorem 4. *Let G be a virtually soluble group of finite exponent. If G has CG, then it is finite.*

Proof. Let N be a soluble normal subgroup with G/N finite and let d be the derived length of N ; we argue by induction on $d > 0$. Write $A \trianglelefteq N^{d-1}$; then by induction G/A is finite. Assume the result is false; then A is infinite and hence $A=A^p$ is infinite for some prime p , since A is reduced. Thus we may suppose that A is an infinite elementary abelian p -group. Next $G \trianglelefteq XA$, where X is a finite subgroup and $X \setminus A \trianglelefteq XA \trianglelefteq G$, so we can factor out by $X \setminus A$ and assume that $G \trianglelefteq X \leq A$. If M is a maximal X -submodule of A , then XM is maximal in G . It follows that there are only countably many such subgroups XM and therefore A has countably many maximal submodules, i.e., it has CM as an X -module.

To reach a contradiction, the first step is to show that there are uncountably many X -submodules with finite index in A . Certainly there are uncountably many subgroups B such that $jA \leq B \leq A$. Then B_X is a submodule of finite index in A . Suppose that there are only countably many of these B_X . Then $B_X \trianglelefteq C$ is fixed for uncountably many B . But $A=C$ is finite and $C \leq B < A$, which leads to the contradiction that there are finitely many subgroups B .

Let S_n denote the set of all submodules S such that $A=S$ is finite with X -composition length n . By hypothesis there is a least $n > 0$ such that $jS_n > @0$. If $S \in S_n$, then $A=S$ has composition length n and hence S is a maximal submodule of some submodule belonging to S_{n-1} . Since S_{n-1} is countable whereas S_n is uncountable, there exists $B \in S_{n-1}$ with uncountably many maximal submodules. Also $A=B$ is finite, so we have $A \supseteq BD$ for some finite X -submodule D .

Let $I \subseteq B \setminus D$ and consider $A=I \supseteq D \supseteq B=I \supseteq D=I$. If $S=I$ is a maximal X -submodule of $B=I$, the submodule SD is maximal in A , so there are countably many submodules SD . It follows that there are uncountably many maximal X -submodules M of B not containing I . For such an M we have $B \supseteq D \supseteq MI$ and, as I is finite, there are uncountably many such submodules M with $M \setminus I \supseteq D \supseteq J$ fixed. Since $B=J \supseteq D \supseteq M=J \supseteq I=J$, we see that $H \supseteq \text{Hom}_X(B=I; I=J)$ must be uncountable. Let $0 \neq \alpha \in H$. Now $I=J \supseteq \alpha B=M$, which is a simple module. Hence we have $B=I \supseteq \text{Ker.} \alpha \supseteq B=M$. Also $B=I \supseteq \alpha A=D$, which satisfies CM. Consequently, there are only countably many submodules $\text{Ker.} \alpha$. It follows that there are uncountably many $\alpha \in H$ with $\text{Ker.} \alpha \supseteq D \supseteq K=I$ fixed. But $\text{Hom}_X(B=K; I=J)$ is even finite since $B=K$ is simple and $I=J$ is finite. Thus we have reached a contradiction. \square

Corollary 2. Let G be a virtually hypocentral group of finite exponent. If G satisfies CG, then it is finite.

Proof. First of all recall that a group is hypocentral if its lower central series reaches the identity subgroup when continued transfinitely. Let $H \leq G$, where H is a hypocentral group and $G=H$ is finite. Then $G=H_0$ is finite by Theorem 4, so $H \supseteq D \supseteq XH_0$, where X is a finitely generated subgroup. Hence $H \supseteq D \supseteq X_i H_0$ for all positive integers i . Thus $H = \bigcup_i X_i H_0$ is finitely generated and hence is finite, so by the solution of the Restricted Burnside Problem it has boundedly finite order for all i .

Therefore $i.H/D \leq C_1.H/$ for some i , which implies that $i.H/D \leq 1$, so H , and hence G , is finite. \square

Our next aim is to determine the structure of periodic hypercentral groups with the property CG.

Theorem 5. *Let G be a periodic hypercentral group. Then G has CG if and only if it is an extension of a central divisible subgroup by a direct product of finite p -groups for distinct primes p .*

Proof. It suffices to prove the result when G a p -group. Since divisible subgroups of G lie in the center ([2]; see also [13, Section 9.2]), we can assume there are no non-trivial divisible subgroups. Form the lower Frattini series $G \supseteq F_0 \supseteq F_1 \supseteq \dots$; thus $F_i \supseteq C_1 \supseteq \dots \supseteq F_i \supseteq F_i^p \supseteq F_i^p$. By Theorem 4 each G/F_i is finite and $G \supseteq X F_1$ for some finite subgroup X , which implies that $G \supseteq X F_i$ for all i . Since X is finite, it follows that G/F_i has boundedly finite order and $F_i \supseteq C_1$ for some i , that is, $F_i \supseteq F_i^p$. Now a hypercentral group cannot have a non-trivial perfect factor; hence $F_i \supseteq F_i^p$, so that F_i is divisible and hence is trivial. Therefore G is finite. Conversely, a group with the structure in the statement has CG because the divisible subgroup is contained in every maximal subgroup. \square

By way of contrast we note that McLain's characteristically simple p -group (see [13, Section 6.2]) has no maximal subgroups and its center is trivial; of course, it is a Fitting group. A further limitation to progress in this direction is indicated by a construction of Vaughan-Lee and Wiegold [16] of countably infinite, locally nilpotent groups of finite exponent that have no maximal subgroups.

Next we discuss soluble groups satisfying chain conditions. First comes a useful result concerning the module property CM.

Lemma 4. *Let R be a ring and A a countable R -module. If A is either noetherian or artinian, then it has CM.*

Proof. If A is noetherian, each submodule is finitely generated and, since A is countable, it has countably many submodules. Hence A has CM. Next let A be artinian. The intersection I of all the maximal submodules of A is the intersection of finitely many of them, which implies that $A=I$ is noetherian. By the first part, A has CM. □

Theorem 6. *A soluble group satisfying max-n has CG.*

Proof. Let G be a soluble group with derived length d satisfying max-n. Recall that G is finitely generated by a theorem of Hall [5]. The result is clearly true when $d = 1$: we argue by induction on $d > 1$. Let $A \trianglelefteq G^{d-1}$. Suppose that there are uncountably many maximal subgroups M of G . Since the result holds for $G=A$, there are uncountably many M such that $A \not\leq M$; thus $G \trianglelefteq MA$ and $M \setminus A \trianglelefteq G$.

Now A satisfies max- G , so there are countably many possibilities for $M \setminus A$. Hence there are uncountably many maximal subgroups M with $M \setminus A \trianglelefteq B$ fixed.

Consider

$$G/B \cong (M \setminus A)B/B \cong (M \setminus A)/(M \setminus A) \cap B$$

and observe that $\text{Der}(G/B; (M \setminus A)B/B)$ is countable because G/B is finitely generated and $(M \setminus A)B/B$ is countable. Therefore there are countably many complements of $(M \setminus A)B/B$ in G/B and hence countably many maximal subgroups M , a contradiction. □

Theorem 6 can also be deduced from the much more general Corollary 3 below. It is natural to ask if the dual theorem for soluble groups with min-n holds; this is a difficult problem because nothing is known about the structure of soluble groups with min-n, except for the theorem of Baer [1] that they are periodic and hence locally finite. It was shown by McDougall [10] that metabelian groups with min-n are countable, and from this it follows readily that the same holds for metanilpotent groups with min-n. On the other hand, Hartley [6] constructed examples of uncountable soluble groups of derived length 3 with min-n.

The most general result about soluble groups with min-n that we have found is the following criterion for CG.

Theorem 7. Let G be a countable, periodic soluble group. Assume that there is a normal series $G \supseteq L_0 \supseteq L_1 \supseteq \dots \supseteq L_n \supseteq 1$, $n > 0$, with nilpotent factors such that $L_i = L_{i-1} C_i$ satisfies $\min-L_{i-1}$ for $i \in \{0, 1, 2, \dots, n\}$. Then G has CG.

When $i \in \{0, 1\}$, the condition on the factor is to be interpreted as requiring $G = L_1$ to satisfy \min , that is, to be a Cernikov group.

Proof. If $n = 1$, then G is a Cernikov group and the result is clearly true; we proceed by induction on $n \geq 2$. Set $A \in L_{n-1}$ and $B \in L_{n-2}$. Since A is nilpotent, $A^0 = 1$; thus we can pass to $G = A_0$ and assume that A is abelian. Assume the result is false; since $G = A$ has CG by induction, there exist uncountably many maximal subgroups M not containing A ; thus $G \supseteq MA$, $M \setminus A \in G$ and $M \setminus A$ is a maximal G -submodule of A . Since A has $\min-B$, it has $\min-G$, so by Lemma 4 it satisfies CM as a G -module. Consequently, there are uncountably many maximal subgroups M with $M \setminus A \in A_0$ fixed. By passing to $G = A_0$, we can assume that $M \setminus A \in 1$ for all such M . Thus $G \supseteq M \in \mathbb{E} A$ and A is a simple G -module.

Next $C_{A,B} \in 1$ or A . In the latter event B is nilpotent and there is a series of shorter length with the same properties, so the result follows by induction on n . Assume therefore that $C_{A,B} \in 1$. By hypothesis A satisfies $\min-B$ and also $B = A$ is nilpotent; therefore $H^1(B; A/A) = 0$ (see [8, 10.3.2]). From $1 \rightarrow B = A \rightarrow G = A \rightarrow G = B \rightarrow 1$ and the standard 5-term cohomology sequence we obtain $0 \rightarrow H^1(G = B; C_{A,B}/) \rightarrow H^1(G = A; A/A) \rightarrow H^1(B = A; A/A)$. Hence $H^1(G = A; A/A) = 0$ and $\text{Der}(G = A; A/A) \cong \text{Inn}(G = A; A/A)$. Since A is countable, so is $\text{Der}(G = A; A/A)$ and it follows that there are countably many maximal subgroups M . \square

Taking $n \geq 2$ in Theorem 7, we deduce that a metanilpotent group satisfying $\min-n$ has CG. When $n \geq 3$, the statement of the theorem is that if G has $\min-n$ and L_2 has $\min-L_1$, then G has CG.

The proof of Theorem 7 shows that in order to prove that soluble groups of nilpotent length 3 satisfying min-n have CG what has to be established is this: $H^1(Q; B)$ is countable whenever Q is a metanilpotent group with min-n and B is a simple Q -module. In the light of this observation it is worthwhile noting the following.

Remark. Hartley's uncountable soluble groups with min-n and derived length 3 have CG.

We will briefly describe the construction. Let $p; q; r$ be distinct primes such that $p - q \geq 1$, but $q \geq r + 1$. Let H be a $\text{Car}_n(p; q)$ -group, i.e., the split extension of an infinite elementary abelian q -group E by a group of type p_1 acting faithfully and irreducibly on E . Hartley constructed an H -module A which is artinian and uniserial with length ω , the first uncountable ordinal. This means that the set of proper submodules of A is well ordered by inclusion, so its members form an ascending series of ordinal type ω . Then $G = \langle H, A \rangle$ is a soluble group of derived length 3 satisfying min-n. Observe that A has no maximal submodules since ω is a limit ordinal, so that all the maximal subgroups of G contain A . Hence G satisfies CG since $G=A$ does.

The section ends with a characterization of metanilpotent groups with CG.

Theorem 8. *Let G be a metanilpotent group and let $A \leq G$, where A and G/A are nilpotent. Then G has CG if and only if the following conditions hold:*

- (i) $G = G^p G_0$ is finite for each prime p .
- (ii) Each maximal G -submodule of A^{ab} has countable index in A^{ab} .
- (iii) There are countably many maximal G -submodules of A^{ab} with non- G -trivial quotients in A^{ab} .

Proof. In the first place $A^0 = G/A$, so that G has CG if and only if G/A does; thus we may assume that A is abelian. Assume that G satisfies CG. Then $G = G^p G_0$ has CG and hence it is finite for all primes p . Next let B denote a maximal G -submodule of A . If A/B is trivial as a G -module, $\text{JA} = B$ is finite. Now assume that A/B is a

non-trivial G -module. Since $G=A$ is nilpotent and $A=B$ is simple and non-trivial as a G -module, we have $H^2(G=A; A=B/D 0$ (see [8, 10.3.2]). This shows that $G=B$ splits over $A=B$, so $G \cong X.B/A$, where $X.B/ \trianglelefteq G$ and $X.B/ \triangleleft A \triangleleft B$. Clearly $X.B/$ is maximal in G , so there are only countably many subgroups $X.B/$. Since $X.B/ \triangleleft A \triangleleft B$, it follows that there are countably many submodules B . In addition $\bigcup_j X.B/j \triangleleft \bigcup_j A.W.Bj$, which implies that $\bigcup_j A.W.Bj$ is countable. Thus conditions (i)–(iii) are necessary.

Conversely, assume that the three conditions are satisfied, but G does not have the property CG . Now $G=A$ has CG since it is nilpotent and each $G=G^p G_0 A$ is finite. Hence there are uncountably many maximal subgroups M of G not containing A . Thus we have $G \cong M A$, $M \setminus A \trianglelefteq G$ and $M \setminus A$ is a maximal G -submodule of A . If $A=M \setminus A$ were G -trivial, then $M \trianglelefteq G$ and $\bigcup_j A.W.Mj \triangleleft p$, a prime. Consequently, $G^p G^0 \trianglelefteq M$ and, as $G=G^p G_0 A$ is finite, there can be only countably many such subgroups M .

From now on we assume that $A=M \setminus A$ is non-trivial as a G -module. By (iii) there are countably many possibilities for $M \setminus A$. Consequently, there must exist an uncountable number of maximals M such that $C \triangleleft M \setminus A$ is fixed and $A=C$ is a simple, non-trivial G -module. Therefore we have $H^1(G=A; A=C/D 0$, whence $\text{Der}(G=A; A=C/D \text{Inn}(G=A; A=C/)$. Moreover, $A=C$ is countable by (ii), which means that $\text{Der}(G=A; A=C/)$ is countable. However, $G=C \triangleleft .M=C/ \cong .A=C/$, so we reach the contradiction that there are countably many subgroups M . \square

4 Modules with countably many maximal submodules

In this section we undertake a more detailed study of the module condition CM . Unlike CG this property is closed under forming extensions, for countable rings at least.

Lemma 5. *Let R be a countable ring and let A be an R -module with a submodule B . If B and $A=B$ have CM , then A has CM .*

Proof. Assume the result is false, so there are uncountably many maximal submodules M of A not containing B . Thus $A \supseteq M \supseteq C \supseteq B$ and $M \setminus B$ is a maximal submodule of B because $B = M \setminus B \cup B \supseteq M$. Since B has CM, there are uncountably many submodules M with $M \setminus B \supseteq C$ fixed. Then, with M fixed, we have $A = C \cup D$, $M = C \cup B$ and $M \setminus B = C \cup A$, which has CM. Let $MN \not\supseteq M$ be some other maximal submodule of A containing C but not B . Then $M \setminus M / = CN$ is a maximal submodule of $M = C$ since $M = M \setminus MN \cup MN \supseteq A = MN$. Hence there are uncountably many submodules MN with $M \setminus MN \supseteq C$ fixed. However, $A = M$ and $A = MN$ are simple and countable, which implies that $A = D$ is a countable noetherian R -module. This leads to the contradiction that there are just countably many modules $M = DN$.
□

The next result is very simple, but it features a property that will be used to construct a large class of finitely generated groups with CG.

Lemma 6. Let R be a countable ring with identity and let A be an R -module. Then the following are equivalent:

- (i) Every finitely generated submodule of A has CM.
- (ii) Every cyclic submodule of A has CM.

Proof. Assume that each cyclic submodule has CM and let

$$B \supseteq a_1R \cup a_2R \cup \dots \cup a_nR$$

be a finitely generated submodule of A with $a_i \in A$. By hypothesis each a_iR has CM and by Lemma 5 this property is extension closed; hence B has CM. The converse is obvious. □

Notice the consequence: if G is a countable group and ZG satisfies CI, then every finitely generated G -module has CM. Next comes a key lemma involving the property characterized in Lemma 6.

Lemma 7. Let G be a finitely generated group with a normal subgroup A . Assume that A is either finite or abelian with every finitely generated G -submodule satisfying CM. Then all but a countable number of maximal subgroups of G contain A .

Proof. Assume the result is false. Then A must be infinite by Theorem 2, so it is abelian. By assumption there are uncountably many maximal subgroups of G not containing A ; let M be one of them. Choose a 2 $M \cap A$ and set $A.M / D a^G$. Then $M \setminus A.M / G M A.M / D G$ and also $M \setminus A.M /$ is a maximal G -submodule of $A.M /$. There are only countably many possibilities for $A.M /$ since it is a cyclic G -module and A is countable. By hypothesis $A.M /$ satisfies CM, so there are only countably many possible submodules $M \setminus A.M /$. Consequently, there is an uncountable set M of maximal subgroups M of G such that $A.M / D B$ and $M \setminus A.M / D C$ with B and C fixed for all $M \in M$.

Let $M \in M$ and consider the group $G=C D .M=C/ \ddot{E} .B=C/$. As G is finitely generated and $B=C$ is countable, $\text{Der}.G=B;B=C/$ is countable, showing that there are only countably many complements of $B=C$ in $G=C$. Hence there are countably many $M \in M$, a contradiction. \square

We are now in a position to produce a large class of finitely generated groups with CM.

Theorem 9. Let G be a finitely generated group with a normal subgroup N . Assume that N has an ascending G -invariant series in which each infinite factor is abelian with the property that all its finitely generated G -submodules satisfy CM. Then all but a countable number of maximal subgroups of G contain N .

Proof. Let $\{N_j \mid j \in \omega\}$ be the given ascending G -invariant series in N . If the result is false, there is a least ordinal α for which there is an uncountable set M of maximal subgroups of G none of which contains N_α .

Suppose first that α is not a limit ordinal. Then all but a countable number of subgroups in M contain $N_{\alpha-1}$. By hypothesis either $N_\alpha = N_{\alpha-1}$ is finite or it is abelian and its finitely generated G -submodules satisfy CM. Thus we can apply Lemma 7 to the group $G=N_{\alpha-1}$, concluding that all but a countable number of subgroups in M contain N_α , a contradiction that shows α to be a limit ordinal. Let $\beta < \alpha$; then all

but a countable number of subgroups in M contain N . Keeping in mind that N is countable, so that there are countably many such subgroups N , we conclude that all but a countable number of subgroups in M contain every N for $\epsilon < \epsilon_0$, and hence contain N , a final contradiction. \square

Of course, if in addition $G=N$ has CM, the same is true of G .

Corollary 3. Let G be finitely generated group with a normal subgroup N . Assume that N has an ascending G -invariant series in which each infinite factor is abelian and its finitely generated G -submodules satisfy max- G or min- G . Then all but a countable number of maximal subgroups of G contain N .

Proof. This follows at once from Theorem 9 and Lemma 4. \square

From Corollary 3 one can read off many types of finitely generated CG-groups. For example, N could be hypercentral in G or even hypercyclically embedded in G and $G=N$ nilpotent-by-polycyclic or soluble with finite rank.

Finally, here is another simple result which shows connections between the properties CG, CM and CI.

Proposition 1. Let Q be a finitely generated group with CG. Then the following statements are equivalent:

- (i) *Every extension of a finitely generated Q -module by Q has CG.*
- (ii) *Every finitely generated Q -module has CM.*
- (iii) *The group ring ZQ has CI.*

Proof. (i) \Rightarrow (ii). Let A be a finitely generated Q -module and write $G = D \ltimes Q \rtimes \bar{E} A$, the natural semidirect product. By hypothesis G has CG. If M is a maximal submodule of A , then QM is a maximal subgroup of G , so there are countably many subgroups QM . This implies that there are countably many submodules M because $M \in D \setminus A \setminus QM$.

(ii) \Rightarrow (i). Let G be an extension of a finitely generated Q -module A by Q . By hypothesis every finitely generated submodule of A has CM. Since G is finitely generated, Lemma 7 shows that G has CG.

(ii) \Rightarrow (iii). This is obvious.

(iii) \Rightarrow (ii). As has already been observed, this follows from Lemma 6. \square

5 Group rings with countably many maximal right ideals

We move on to study the property CI for a group ring ZG. This is a very strong property since it is preserved on passing to subgroups of G. The basis for this is:

Lemma 8. Let R be a ring with identity and let G be a group with a subgroup H. If RG has CI, the same is true of RH.

This follows from a standard result about group rings: *if H is a subgroup of a group G and I is a maximal right ideal of RH, there is a maximal right ideal J of RG such that I D J \ ZH – for this see [12, Lemma 6.1.2].*

The next result may be compared with Theorem 1.

Proposition 2. Let G be a countable group with a subgroup H of finite index. Then ZG has CI if and only if ZH has CI.

Proof. In the first place Lemma 8 shows that ZH will have CI if ZG does. Assume that ZH has CI. By Lemma 8 again we can replace H by H_G , so we may as well assume that $H \leq G$. Let T be a transversal to H in G; then

$ZG \cong \sum_{t \in T} t^{-1} ZH t$ as Z-modules and consequently ZG is finitely generated as an H-Z module. Since cyclic countably many maximal H-submodules.

Let M be a maximal right ideal of ZG. Now M is contained in a maximal H-submodule L of ZG, since the latter is finitely generated as an H-module. If $t \in T$, then Lt is also a maximal H-submodule of ZG containing M. Hence

$M \subseteq \bigcap_{t \in T} Lt$. Therefore $M \cap L$ is the intersection of finitely many maximal H-submodules of ZG. Since ZG has countably many maximal H-submodules, there are only countably many possibilities for M. \square

Next we examine the effect on the structure of a soluble group of imposing the property CI on its group ring.

Theorem 10. *Let G be a soluble group such that ZG has the property CI. Then G is a minimax group with no sections of type $p^1 p_1$.*

As already noted, the groups appearing in Theorem 10 are exactly the soluble groups that have countably many subgroups [4]. In the proof of the theorem we will use the following lemma.

Lemma 9. *Let A be an abelian group which has an uncountable set of subgroups $B_j \cong \mathbb{Z}/p\mathbb{Z}$ such that A/B is a periodic, locally cyclic p_0 -group for some prime p . Then ZA has uncountably many maximal ideals.*

Proof. It follows from the hypothesis that A/B is isomorphic with a subgroup of the multiplicative group of $\mathbb{Z}/p\mathbb{Z}$, the algebraic closure of $\mathbb{Z}/p\mathbb{Z}$; moreover, the image of A/B generates a subfield F of $\mathbb{Z}/p\mathbb{Z}$ as a ring. It follows that F is a simple A -module via the natural action of A/B . Hence $F \otimes_{\mathbb{Z}} \mathbb{Z}A = M$, where M is a maximal ideal of ZA . The kernel of the action of A on F is clearly B . Therefore $B \not\cong B$ implies that $M \not\cong M$ and consequently ZA has uncountably many maximal ideals. \square

Proof of Theorem 10. Since the property CI is inherited by group rings of subgroups and quotients, we may assume that G is abelian. Furthermore, it is sufficient to prove that G cannot be an infinite elementary abelian p -group, an infinite direct product of groups of distinct prime orders or a group of type $p^1 p_1$. If G is one of these groups, it is easily verified that there is a set of subgroups with the properties listed in Lemma 9. Therefore ZG has uncountably many maximal ideals in ZG , and the theorem is established. \square

Whether the converse of Theorem 10 is true remains open, but we are able to prove it in the case of abelian-by-finite groups.

Theorem 11. *Let G be a finite extension of an abelian minimax group without factors of type $p^1 p_1$ for any prime p . Then ZG satisfies CI.*

Proof. By Proposition 2 we may assume G to be abelian and minimax. Let M be a fixed maximal ideal of ZG and write $F \cong ZG/M$, which is a simple G -module and also a field. We show that $Q \cong G/C_G.F/$ is locally (finite cyclic).

There is a monomorphism from Q into F , the multiplicative group of F , whose image generates F as a ring; we regard Q as a subgroup of F . Since Q is minimax, it has a free abelian subgroup A such that Q/A is periodic. Let $R \cong \langle A \rangle$, the subring of F generated by A . Since each element of Q has a positive power in A and $F \cong \langle Q \rangle$, it follows that F is integral over R . But F is a field, so R is also a field. Since R is finitely generated as a ring, it is finite and therefore $A \cong 1$, showing that Q is periodic. In addition $Q \cong G/C_G.F/$ acts on F as an irreducible group of automorphisms, so we can apply a theorem of Baer ([1]; see also [13, Lemma 5.26]) to show that F has characteristic $p > 0$ and hence Q is a locally cyclic p -group.

Assume that ZG has uncountably many maximal ideals M . Clearly we may suppose that $I_G \not\subseteq M$. There are only countably many subgroups $C_G.ZG/M/$, from which we deduce that there are uncountably many maximal ideals M with $C_G.ZG/M/ \subseteq C$ fixed and ZG/M elementary abelian p for some p . Since $I_N \subseteq M$, we can pass to $ZG/I_N \cong Z.G=C/$ and assume that $C \cong 1$. Thus G is a locally cyclic p -group.

We know that G acts faithfully on ZG/M and p does not belong to the spectrum of G ; also of course M is a prime ideal. We are therefore in a position to apply a result of Segal [14, Corollary 1.2] to conclude that M is finitely generated as an ideal of ZG . However, this means that there only countably many maximal ideals M . \square

An immediate application of Theorems 10 and 11 is a characterization of locally finite groups whose group rings satisfy CI.

Corollary 4. *Let G be a locally finite group. Then ZG satisfies CI if and only if G is a Cernikov group whose maximum divisible subgroup is locally cyclic.* \checkmark

Proof. Assume that ZG has CI. By Lemma 8 and Theorem 10 abelian subgroups of G are Cernikov groups. The well-known theorem of Šunkov [15] shows that \hat{G} is a Cernikov group. It follows from Theorem 10 that the maximum divisible sub- \hat{G} group of G is locally cyclic. The converse is true by Theorem 11. \square

6 Soluble groups with uncountably many maximal subgroups

One approach to constructing soluble groups that do not have CG is to look for group rings which do not satisfy CI. Let Q be a group such that ZQ does not have CI. Then the natural semidirect product $G = D = Q \wr Z$ has uncountably many maximal subgroups of the form QM , where M is a maximal right ideal of ZQ . If Q is soluble or finitely generated, then so is G .

Examples. (i) Let Q be a group of type p^1 p_1 , where p is a prime. Then ZQ does not have CI by Theorem 10, so $G = D = ZwrQ$ is a countable metabelian group that does not have CG.

(ii) Let q be a prime and put $Q = D = Z_q \wr Z$. Since the base group of the wreath product is infinite elementary abelian, ZQ does not have CI. Hence $G = D = ZwrQ$ is a finitely generated soluble group of derived length 3 which does not have CG. This is the minimum derived length possible here since finitely generated metabelian groups satisfy CG.

(iii) Let $H = D = \langle a \rangle \rtimes \langle a^x \rangle$, where p is a prime, and put $Q = D = H \wr Z$. Because Q has a quotient of type p^1 p_1 , its group ring does not have CI. Consequently, $G = D = ZwrQ$ is a finitely generated, torsion-free soluble group of derived length 3 that does not satisfy CG.

(iv) Let A be a non-trivial countable abelian group. By a well-known construction of Hall ([5]; see also [8, 4.1.3]), there is a finitely generated center-by-metabelian group Q whose center is isomorphic with A . If we choose A so that ZA does not have CI, then neither will ZQ , and the group $ZwrQ$ will fail to have CG.

(v) The final example is an explicit construction of uncountably many maximal right ideals in a group algebra in case (ii). The idea is due to de Cornulier

[3]. Since the construction has not appeared in print form, we present an account of a generalized version.

Proposition 3. *Let p, q be primes such that $p \equiv 1 \pmod q$ and let $Q \cong \mathbb{Z}_q \wr \mathbb{Z}$.*

Then $\mathbb{Z}_p \wr Q$, and hence $\mathbb{Z}Q$, does not satisfy CI.

Proof. Write $Q \cong \langle u_i \mid i \in \mathbb{Z} \rangle$, where $u_i^q = 1$. Let M be a \mathbb{Z}_p -vector space with a countably infinite basis $\{e_i \mid i \in \mathbb{Z}\}$. Since q divides $p - 1$, there is a primitive q th root of unity ζ in \mathbb{Z}_p . Denote by F the set of all functions from \mathbb{Z} to \mathbb{H} . Each choice of $f \in F$ turns M into a right Q -module M_f by means of the rules

$$e_i u_0 = \zeta e_i, \quad e_i u_j = \zeta^{ij} e_i \quad (i, j \in \mathbb{Z}).$$

Writing $u_k = u_0^k$, we have $e_i u_k = \zeta^{ik} e_i$. Regarding F as the set of all bi-infinite sequences of elements from \mathbb{H} , we define F_0 to be the subset of all $f \in F$ such that every finite sequence of elements of \mathbb{H} occurs as a (consecutive) subsequence of f . Notice that F_0 is uncountable.

We show next that M_f is a simple Q -module if $f \in F_0$. To prove this let

assume the sequence $\langle a_i \mid i \in \mathbb{Z} \rangle$ in M_f and write $a_i = \sum_{j \in \mathbb{Z}} \alpha_{ij} e_j$, with, where $\alpha_{ij} \in \mathbb{Z}_p$ and $a_i \neq 0$. By

sequence of f . Hence there is a conjugate u_N of u_0 such that $e_i u_N = \zeta^i e_i$ for $i \in \mathbb{Z}$; $\zeta^i \in \mathbb{Z}_p$ and $e_i u_N = \zeta^i e_i$. Therefore the submodule $S = \langle a_i \mid i \in \mathbb{Z} \rangle$ contains the element

$$\sum_{j \in \mathbb{Z}} \alpha_{1j} e_j u_N = \sum_{j \in \mathbb{Z}} \alpha_{1j} \zeta^j e_j = \sum_{j \in \mathbb{Z}} \alpha_{1j} \zeta^j e_j \in S.$$

Hence $\zeta^j e_j \in S$ and $e_j \in S$. It follows that S contains all the e_i , so $S = M$ and M is a simple Q -module.

Next define a Q -module homomorphism $\psi: W \rightarrow Z_p Q$ by $\psi(e_0) = 1/D$. Since M is simple, ψ is surjective and $M/\ker \psi = K$, where $K \triangleq \ker \psi$, which is a maximal right ideal of ZQ . Suppose that $K \triangleq K_N$, where $N \in F_0$. For any $j \in \mathbb{N}$ we have

$$\psi(u_j/D) = (1/D) \psi(u_j) = (1/D) \psi(e_0) = 1/D.$$

Hence $\psi(u_j/D) = (1/D) \psi(b/e_0)$ for any $b \in Z_p$. Thus $u_j/D \in K$ if and only if $1/D \in \psi^{-1}(1/D)$. Suppose that $1 \in N$, so that $1/D \in \psi^{-1}(1/D) \cap N = \ker \psi$ for some $j \in \mathbb{N}$. Hence $u_j/D \in K \cap N$, so that $1/D \in N$ and $1 \in N$. By this contradiction $1 \notin N$. It follows that the map $\psi: K \rightarrow K$ is injective, which shows that $Z_p Q$ has uncountably many maximal right ideals K , 2^{\aleph_0} . \square

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